

Fig. 1

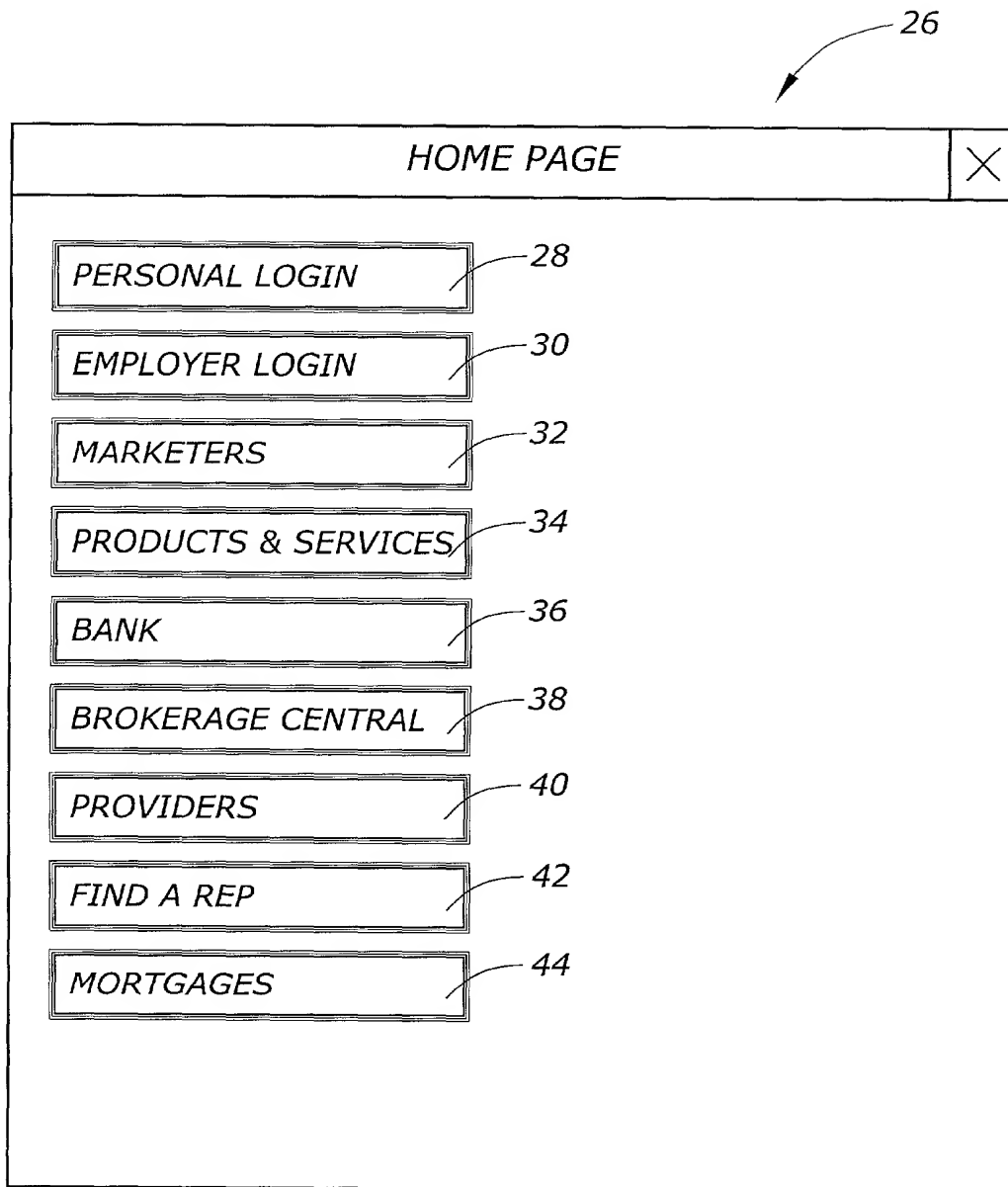


Fig. 2

46

The diagram shows a web browser window titled "EMPLOYER LOGIN" with a close button (X) in the top right corner. Inside the window, there is a navigation bar with "HOME" and "EMPLOYER LOGIN" separated by a right-pointing arrow. Below this, on the left, is a link labeled "EXIT SECURE AREA" with the number 48 pointing to it. On the right side of the form are three input fields. The first is labeled "ID:" and has the number 50 pointing to it. The second is labeled "PIN:" and has the number 52 pointing to it. The third is labeled "CONTRACT: (OPTIONAL)" and has the number 54 pointing to it.

EMPLOYER LOGIN

HOME → EMPLOYER LOGIN

48 EXIT SECURE AREA

ID: 50

PIN: 52

CONTRACT: (OPTIONAL) 54

Fig. 3

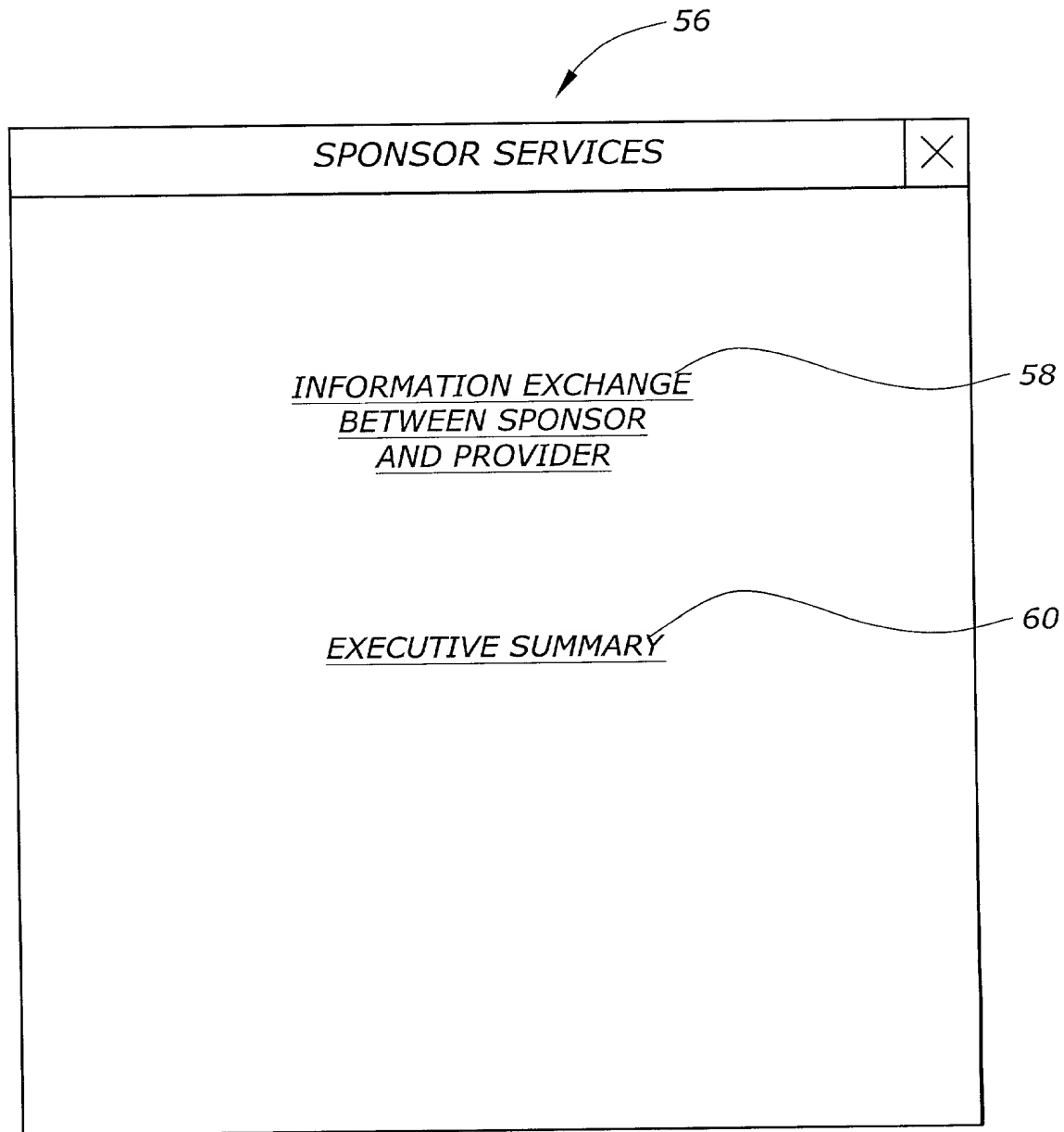


Fig. 4

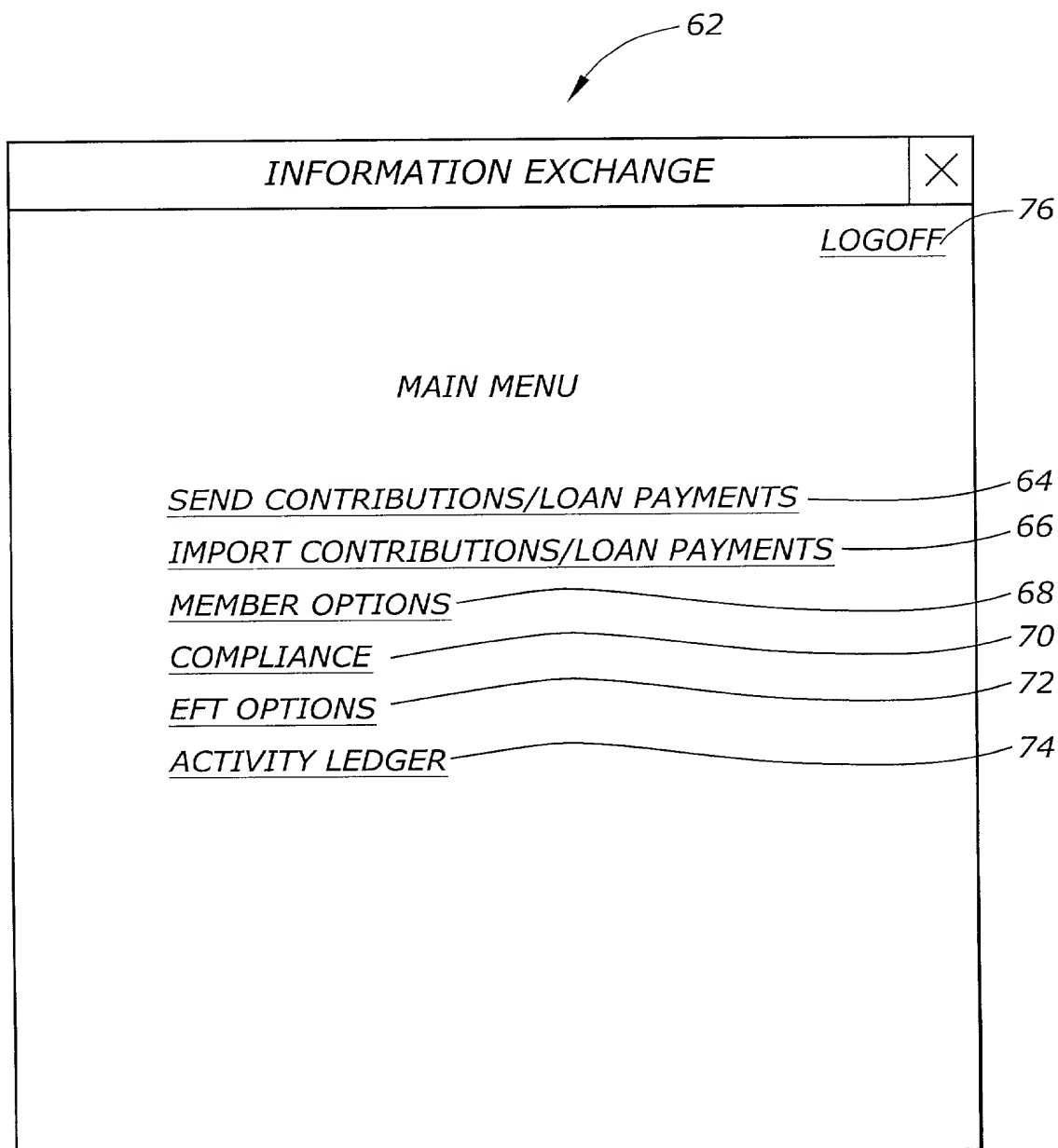


Fig. 5

78

INFORMATION EXCHANGE

INFORMATION EXCHANGE → SEND CONTRIBUTIONS/
LOAN PAYMENTS

PAY PERIOD ENDING DATE:

82

☒

 CONTRIBUTIONS

84

☒

 LOAN PAYMENTS

CONTRIBUTION
TYPES AVAILABLE

ADD>>

<<REMOVE

ORDER OF APPEARANCE
FOR DATE ENTRY

ELECTIVE DEFERRAL
EMPLOYER MATCH IN M

↑

↓

CONTINUE

Fig. 6

96

INFORMATION EXCHANGE
×

INFORMATION EXCHANGE → SEND CONTRIBUTIONS/
LOAN PAYMENTS

PAY PERIOD

PLAN YEAR

TAX YEAR

CONTRIBUTIONS

INACTIVE MEMBERS

LOANS

EMPLOYEE ID	NAME	MATCHING	DEFERRAL
ID1	NAME 1	19.23	38.46
ID2	NAME 2	23.08	46.15
ID3	NAME 3	15.38	30.77
ID4	NAME 4	30.77	61.54
ID5	NAME 5	26.92	53.89
SUBTOTALS		115.38	230.76
		TOTAL	346.14

SEARCH FOR:

SEARCH SORT BY NAME

CONTINUE

SAVE

CANCEL

Fig. 7

130

INFORMATION EXCHANGE

INFORMATION EXCHANGE

SEND CONTRIBUTIONS/
LOAN PAYMENTS

PAY PERIOD

PLAN YEAR

TAX YEAR

132

134

136

INFORMATION FOR FINAL APPROVAL

CONTRIBUTIONS XXX

EMPLOYER MATCH XXX

ELECTIVE DEFERRAL XXX

LOAN PAYMENTS XXX

NEGATIVE CONTRIBUTIONS XXX

138

140

142

144

146

ACCOUNT NUMBER	ACCOUNT NAME	AMOUNT
XXXXXX	BANK 1	<input type="text"/>
XXX	BANK 2	<input type="text"/>
XXX	BANK 3	<input type="text"/>
SUBTOTALS		

SEND

SAVE

MAKE CHANGES

CANCEL

PRINT

Fig. 8

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INFORMATION EXCHANGE ×

INFORMATION EXCHANGE → SEND CONTRIBUTIONS/
LOAN PAYMENTS

FILE TO IMPORT C:\IMPORT.CSV BROWSE OPEN

FILE TYPE:

☐ FIXED FORMAT

☒ DELIMITED

DELIMITER: COMMA ▼

PREVIEW

CANCEL NEXT

Fig. 9

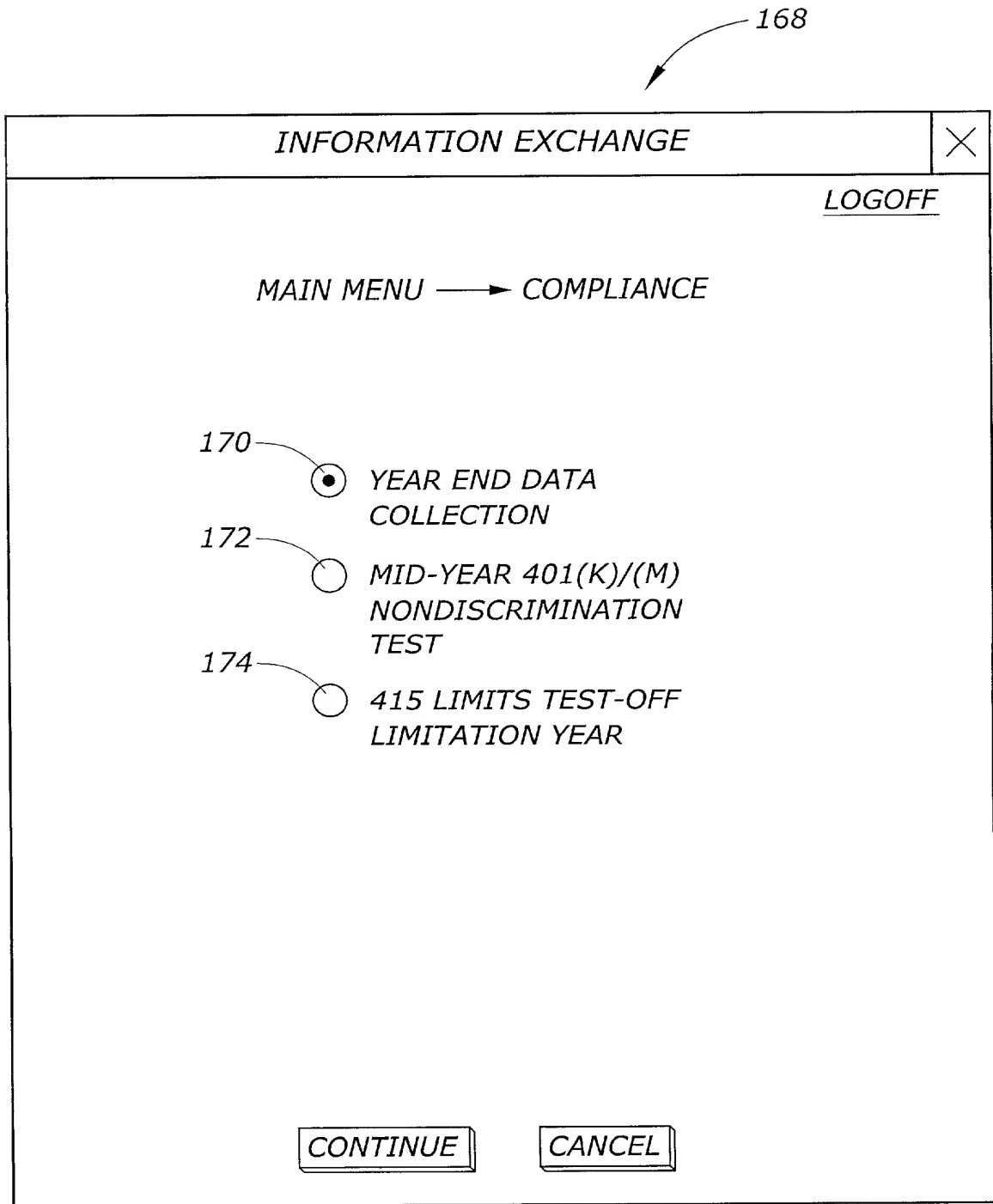


Fig. 10

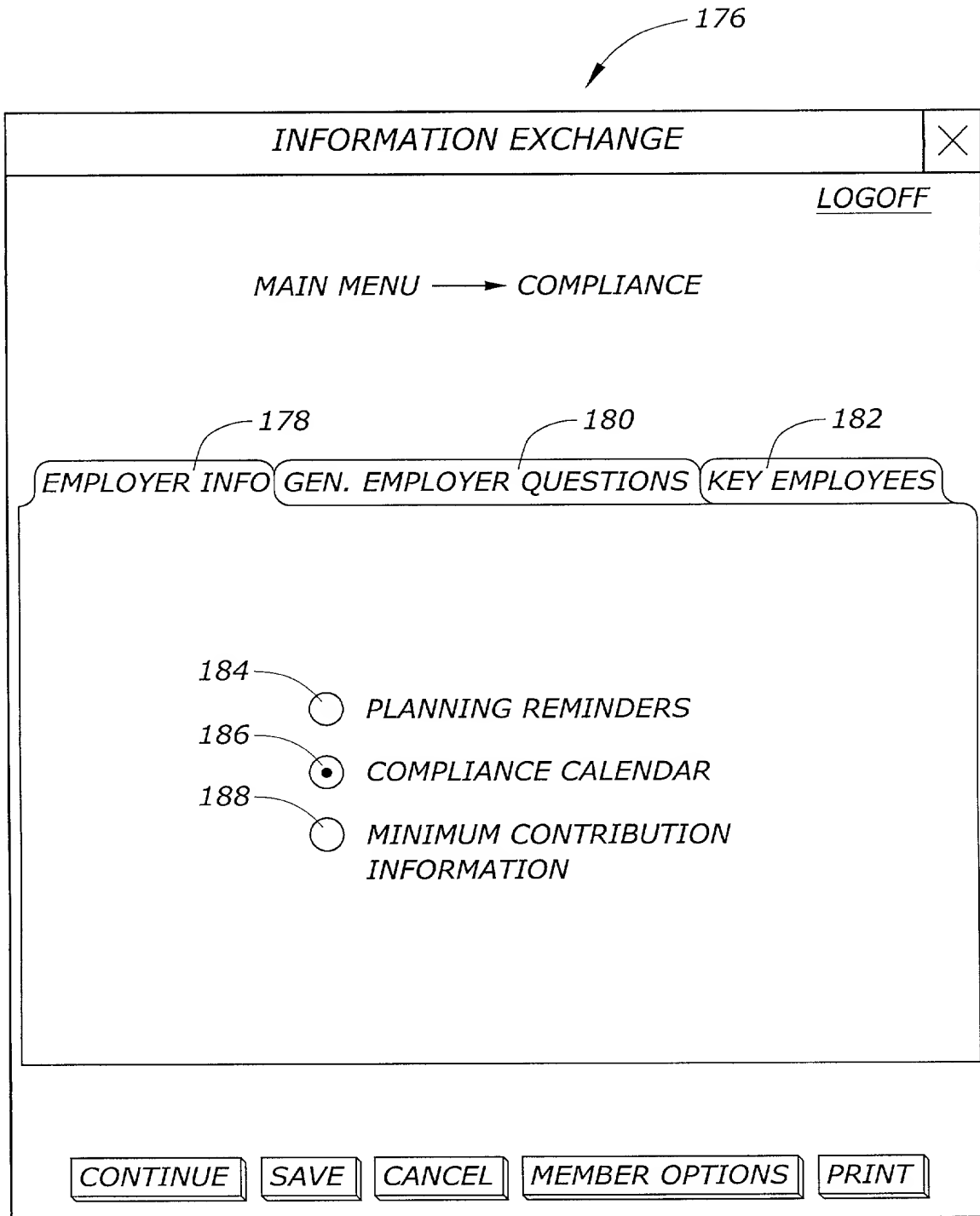


Fig. 11

190

X

INFORMATION EXCHANGE

LOGOFF

MAIN MENU —→ EFT OPTIONS

BANK ACCOUNTS

CHECKING ACCOUNT NUMBER

192 ☒ XXXXXXXXXXXX - BANK 1

194 ☐ XXXXXXXXXXXX - BANK 2

196 ☐ XXXXXXXXXXXX - BANK 3

198 VIEW/CHANGE 200 ADD 202 DELETE CANCEL

Fig. 12

204

X
INFORMATION EXCHANGE

206

DATE/TIME
SUBMITTED

MM/DD/YY
HH:MM

MM/DD/YY
HH:MM

MM/DD/YY
HH:MM

208

ACTIVITY

CONTRIBUTIONS/
LOAN PAYMENT

MEMBER ADD

EFT SIGNUP

210

EFFECTIVE
DATE

MM/DD/YY
HH:MM

MM/DD/YY
HH:MM

MM/DD/YY

212

AMOUNT

\$280.54

N/A

N/A

214

STATUS

SUBMITTED

SUBMITTED

SUBMITTED

216

REQUEST
NUMBER

XXXXX

XXXXX

PRINT

Fig. 13

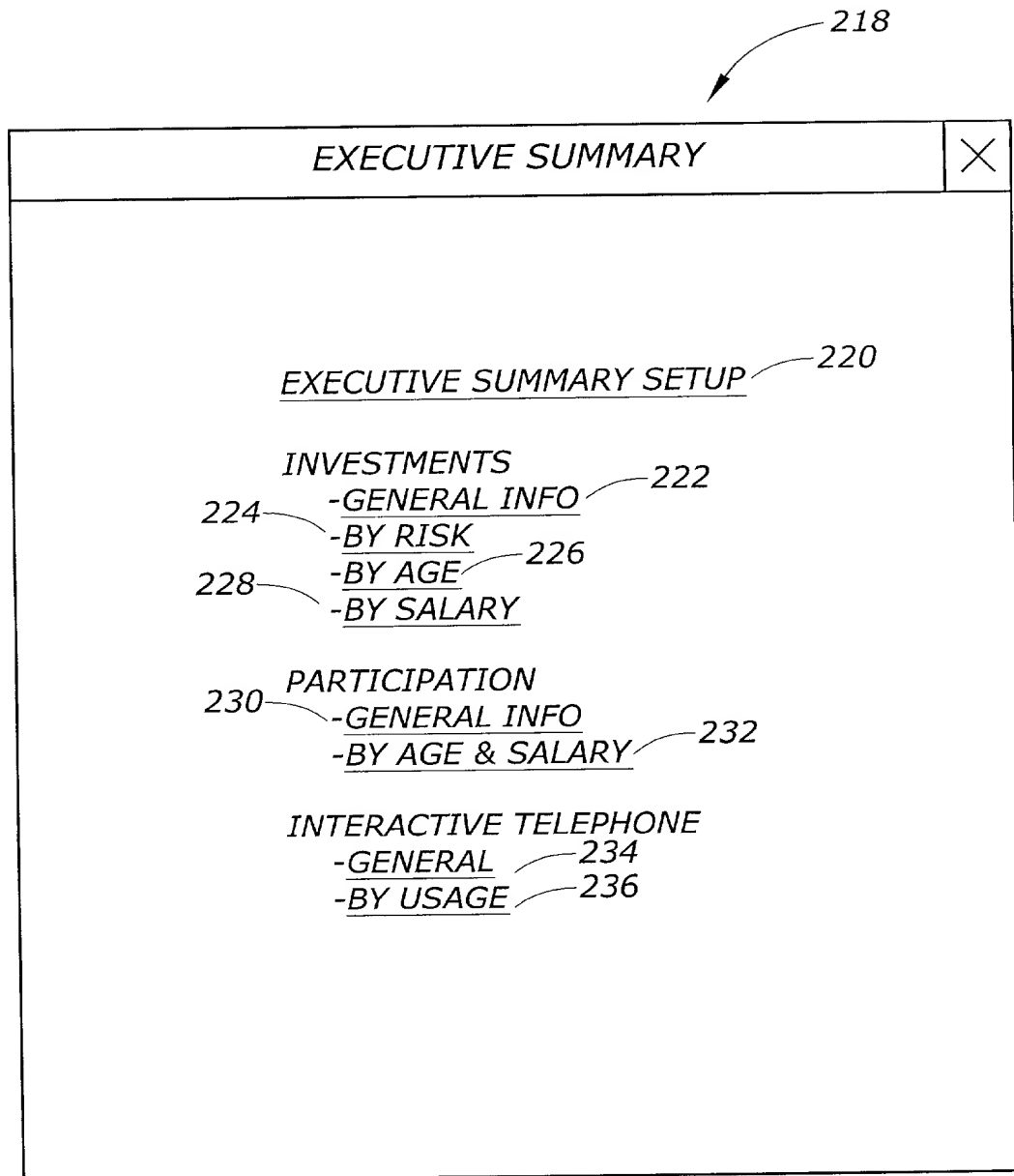


Fig. 14

238

EXECUTIVE SUMMARY SETUP

SUMMARIZE BY:

☒ CONTRACT 240

☐ LOCATION 242

LOCATION 244

SIZE OF GRAPHS

☒ LARGE 246

☐ SMALL 248

250

CONTINUE

252

RESET

Fig. 15

254

INVESTMENTS - GENERAL INFORMATION
✕

CURRENT INVESTMENT OPTIONS

RISK LEVEL	INVESTMENT OPTION
CONSERVATIVE	MONEY MARKET
CONSERVATIVE	GUARANTEED
MODERATE	BOND & MORTGAGE
MODERATE	GOVERNMENT SECURITIES
MODERATE	BOND EMPHASIS BALANCED
MODERATE	STOCK EMPHASIS BALANCED
DYNAMIC	U.S. STOCK
DYNAMIC	REAL ESTATE
DYNAMIC	STOCK INDEX 500
DYNAMIC	MEDIUM COMPANY VALUE
DYNAMIC	MEDIUM COMPANY BLEND
AGGRESSIVE	INTL STOCK
AGGRESSIVE	SMALL COMPANY BLEND

Fig. 16A

INVESTMENTS - GENERAL INFORMATION						
TREND OF PLAN INVESTMENTS						
INVESTMENT NAME	1995	1996		1997		
MONEY MARKET	\$43,910	\$43,910	1.5%	\$43,910	1.5%	1.5%
GUARANTEED	\$498,924	\$829,679	17.6%	\$635,490	21.4%	14.1%
BOND & MORTGAGE	\$423,162	\$716,424	14.9%	\$959,138	18.5%	21.3%
GOVERNMENT SECURITIES	\$19,825	\$20,532	0.7%	\$22,632	0.5%	0.5%
BOND EMPHASIS BALANCED	\$18,732	\$20,608	0.7%	\$23,335	0.5%	0.5%
STOCK EMPHASIS BALANCED	\$15,537	\$18,076	0.5%	\$21,356	0.5%	0.6%
U.S. STOCK	\$838,920	\$1,038,187	29.6%	\$1,378,464	26.8%	30.7%
REAL ESTATE	\$12,844	\$13,879	0.5%	\$15,518	0.4%	0.3%
STOCK INDEX 500	\$49,558	\$59,107	1.7%	\$78,536	1.5%	1.7%
MEDIUM COMPANY VALUE	\$89,479	\$101,575	3.2%	\$137,616	2.6%	3.1%
MEDIUM COMPANY BLEND	\$101,423	\$120,066	3.6%	\$151,363	3.1%	3.4%

Fig. 16B

254

256

258

259

260

262

264

266

268

270

272

274

INVESTMENTS-GENERAL INFORMATION						
TREND OF PLAN INVESTMENTS						
INVESTMENT NAME	1995		1996		1997	
INTL STOCK	\$542,942	19.1%	\$674,587	17.4%	\$758,214	16.9%
SMALL COMPANY BLEND	\$182,912	6.4%	\$212,018	5.5%	\$256,848	5.7%
TOTALS	\$2,838,168	100%	\$3,876,947	100%	\$4,494,394	100%
LOANS	\$0	N/A	\$0	N/A	\$33,999	N/A
GRAND TOTAL	\$2,838,168	100%	\$3,876,947	100%	\$4,528,393	100%

276

278

280

282

284

254

Fig. 16C

286

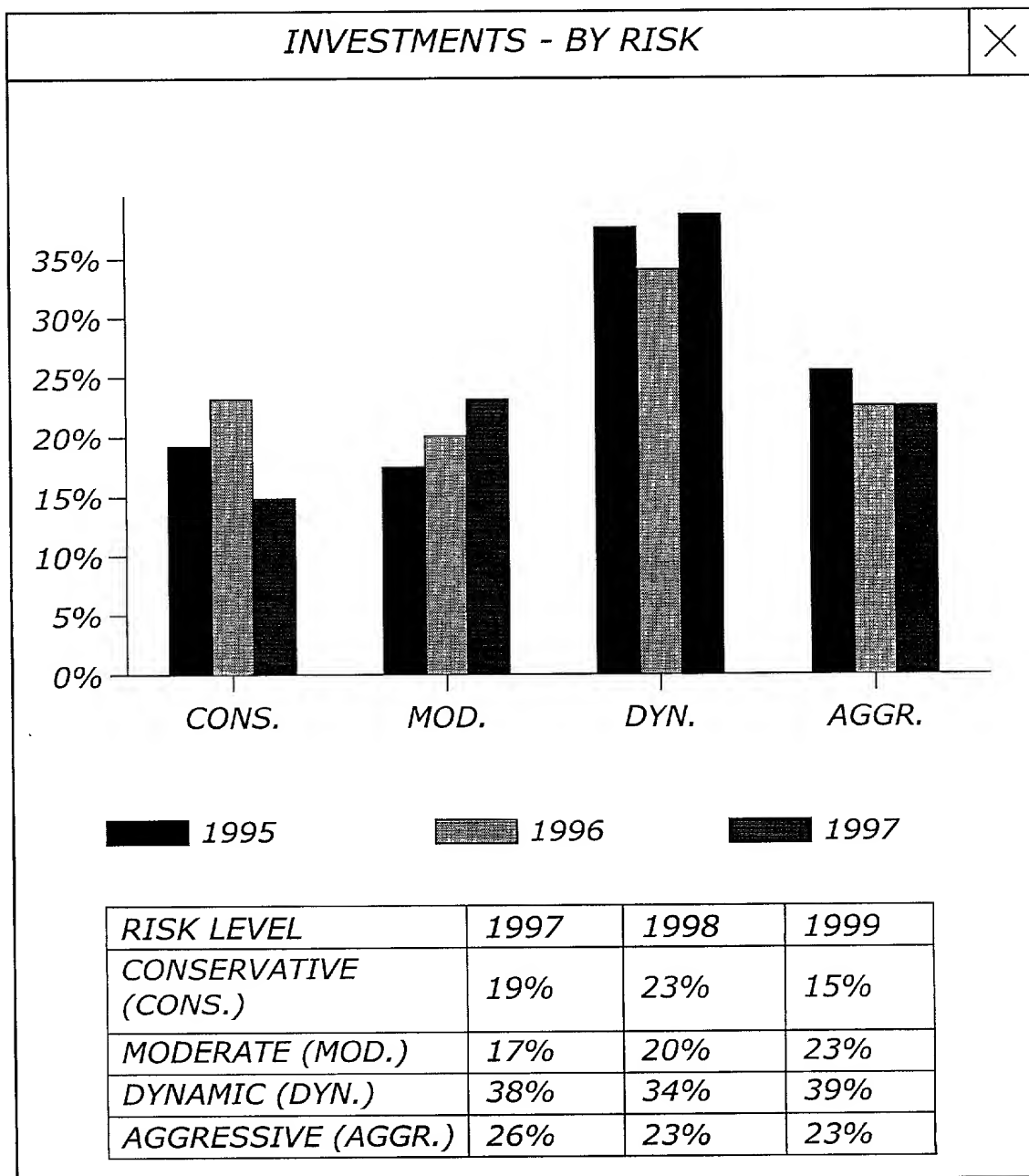


Fig. 17

288

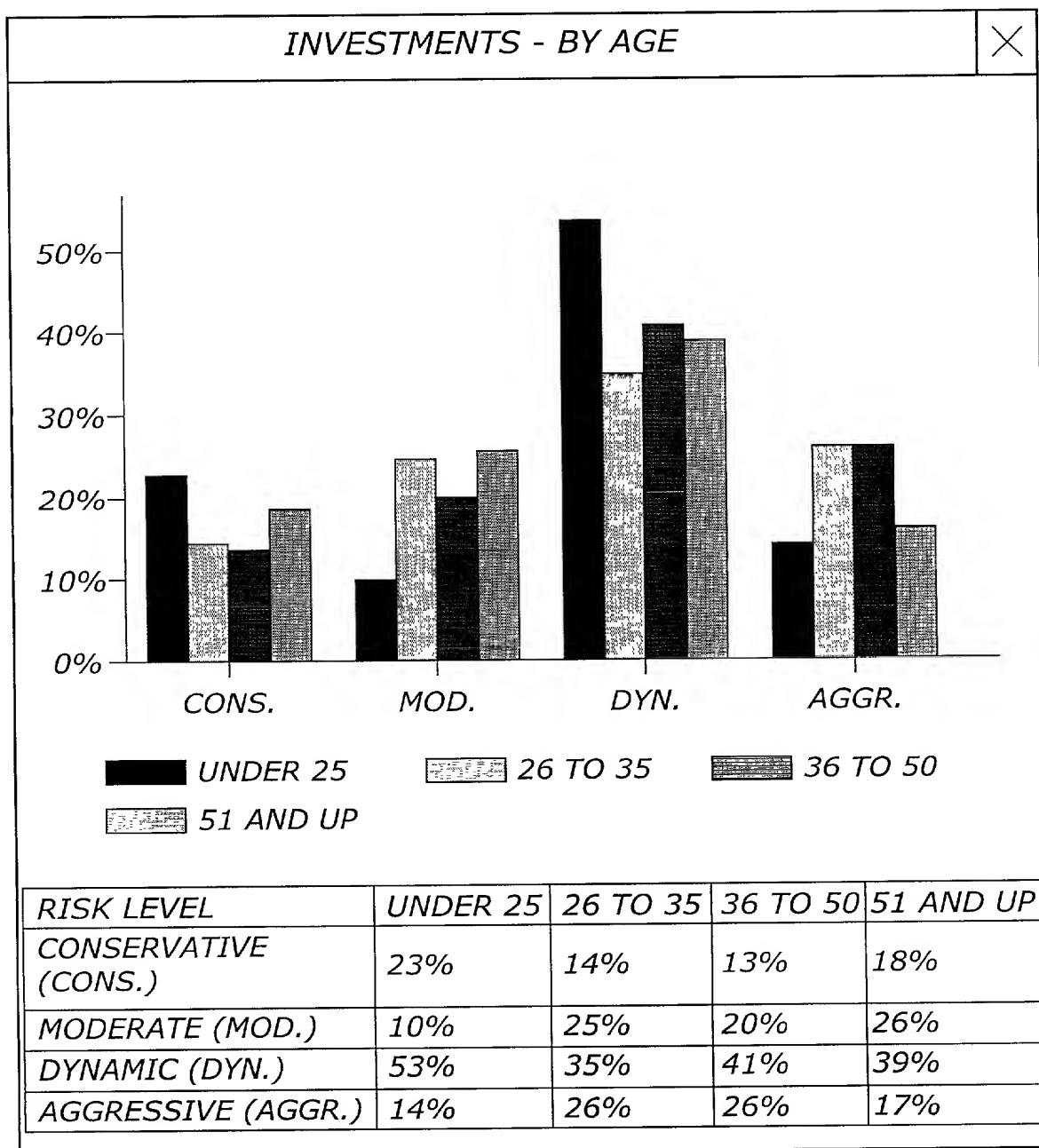


Fig. 18

290

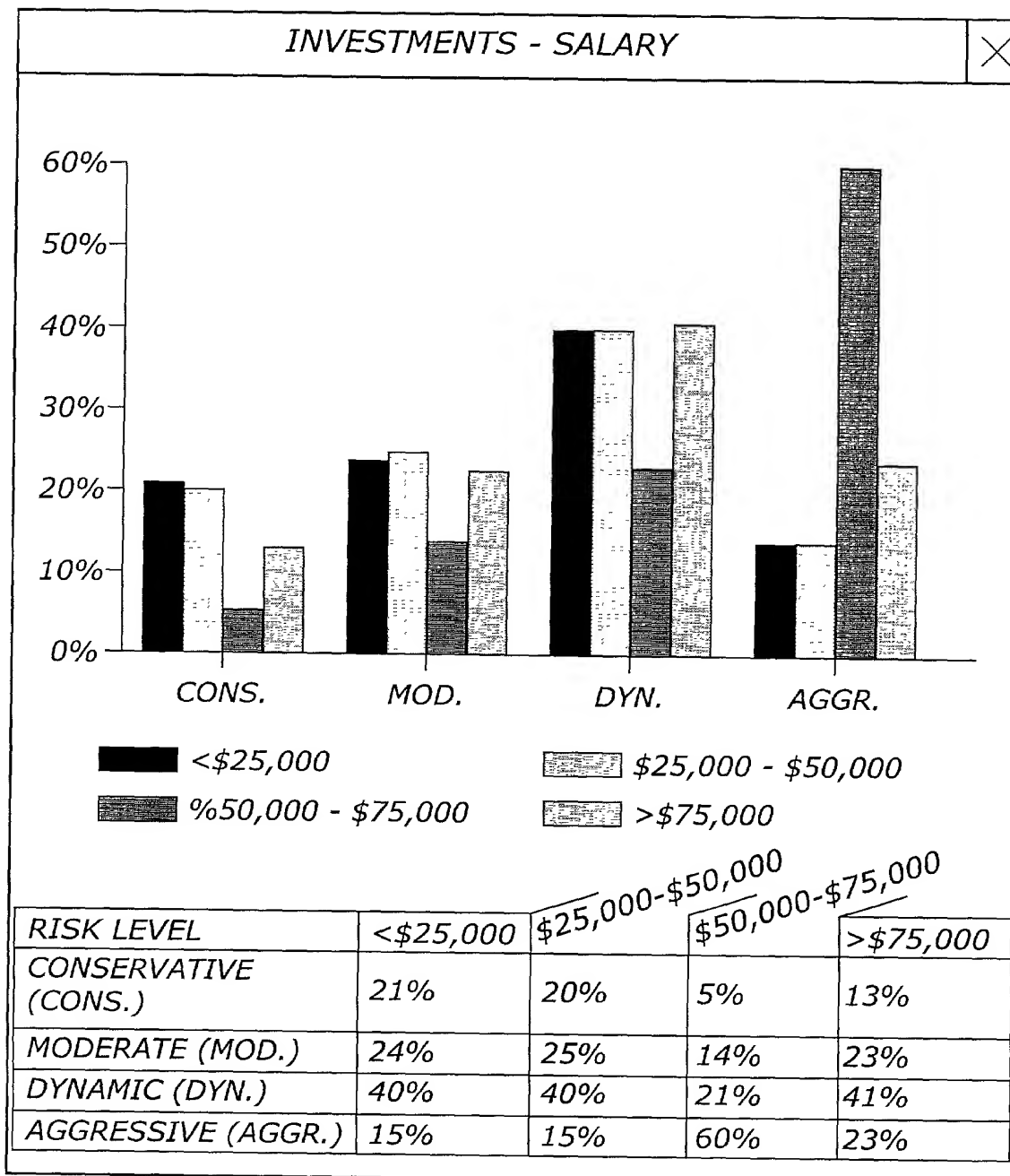


Fig. 19

292

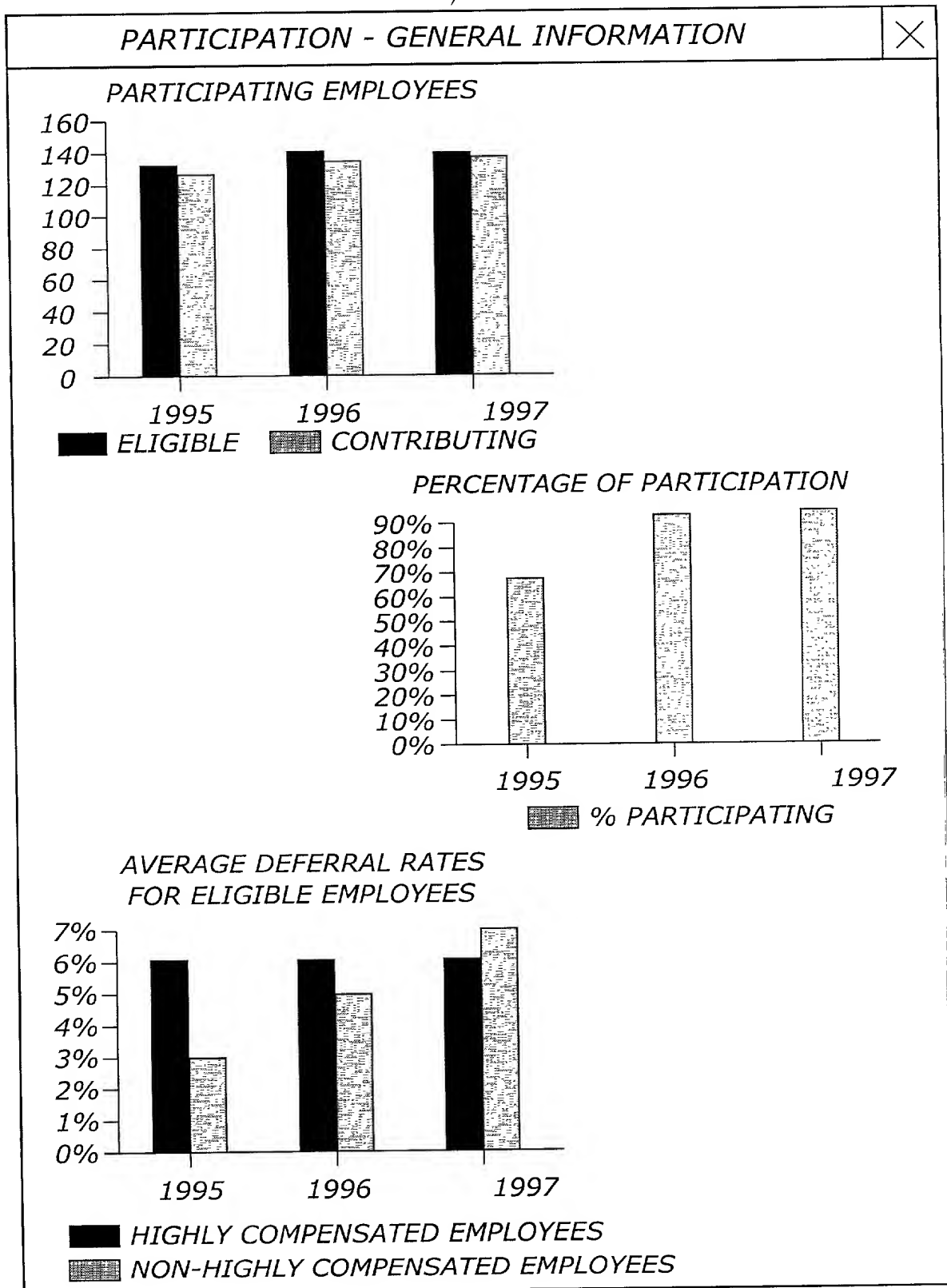


Fig. 20

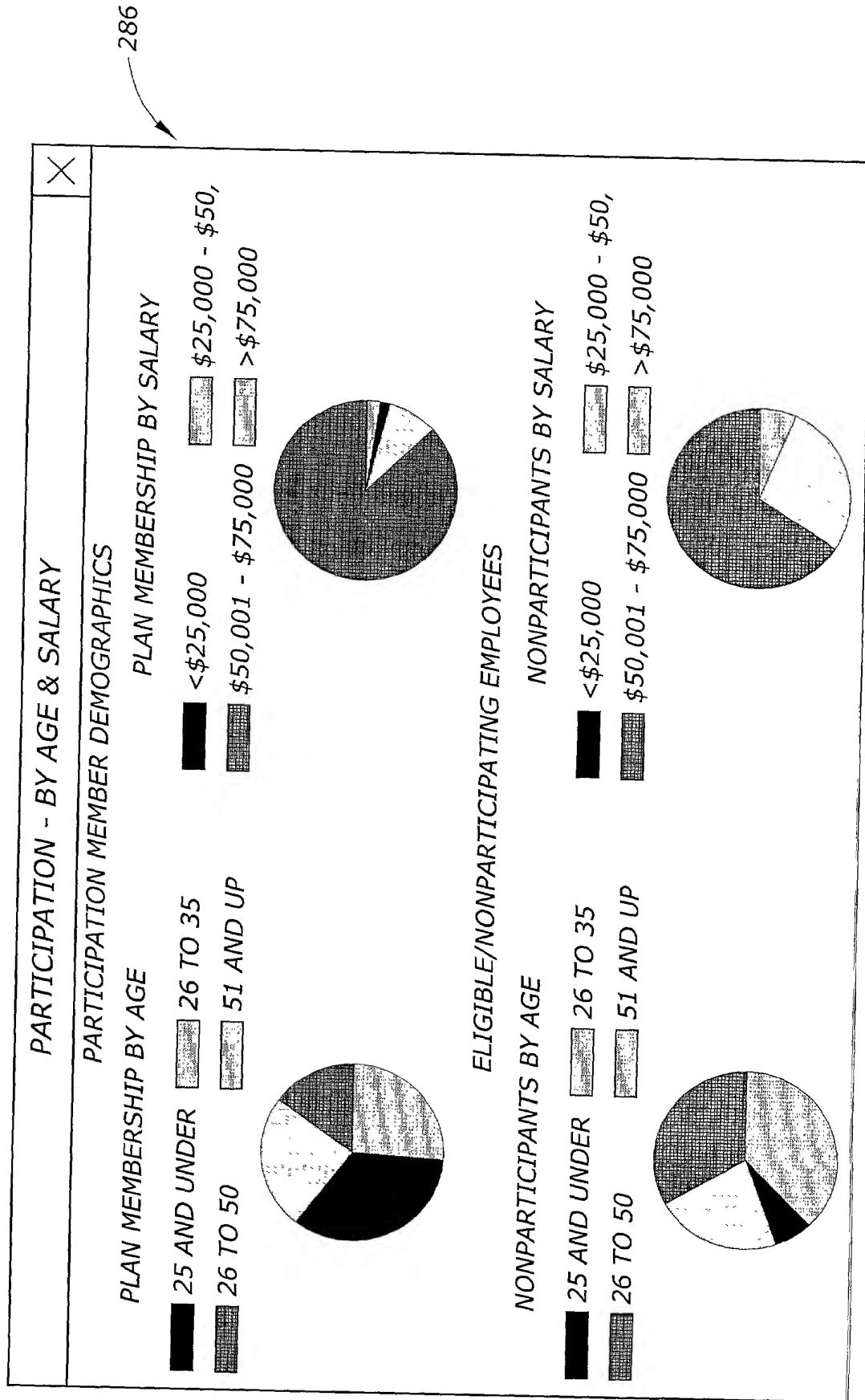


Fig. 21

296

INTERACTIVE TELEPHONE - GENERAL INFORMATION

×

HERE'S A SUMMARY OF YOUR
INTERACTIVE TELEPHONE
USAGE:

TOTAL PLAN MEMBERS WITH ACTIVE PINS	184
TOTAL PLAN MEMBERS THAT ACCESSED USAGE	25 14%

HERE ARE THE NUMBER OF INTERACTIVE
TELEPHONE REQUESTS MADE BY YOUR
PLAN MEMBERS FOR THE PAST YEAR:

INVESTMENT INFORMATION	77
ACCOUNT SUMMARY	103
ACCOUNT BALANCE	89
CURRENT INVESTMENT DIRECTION	6
PIN CHARGES	7
INVESTMENT TRANSFERS	12
TOTAL REQUESTS	294

Fig. 22

298

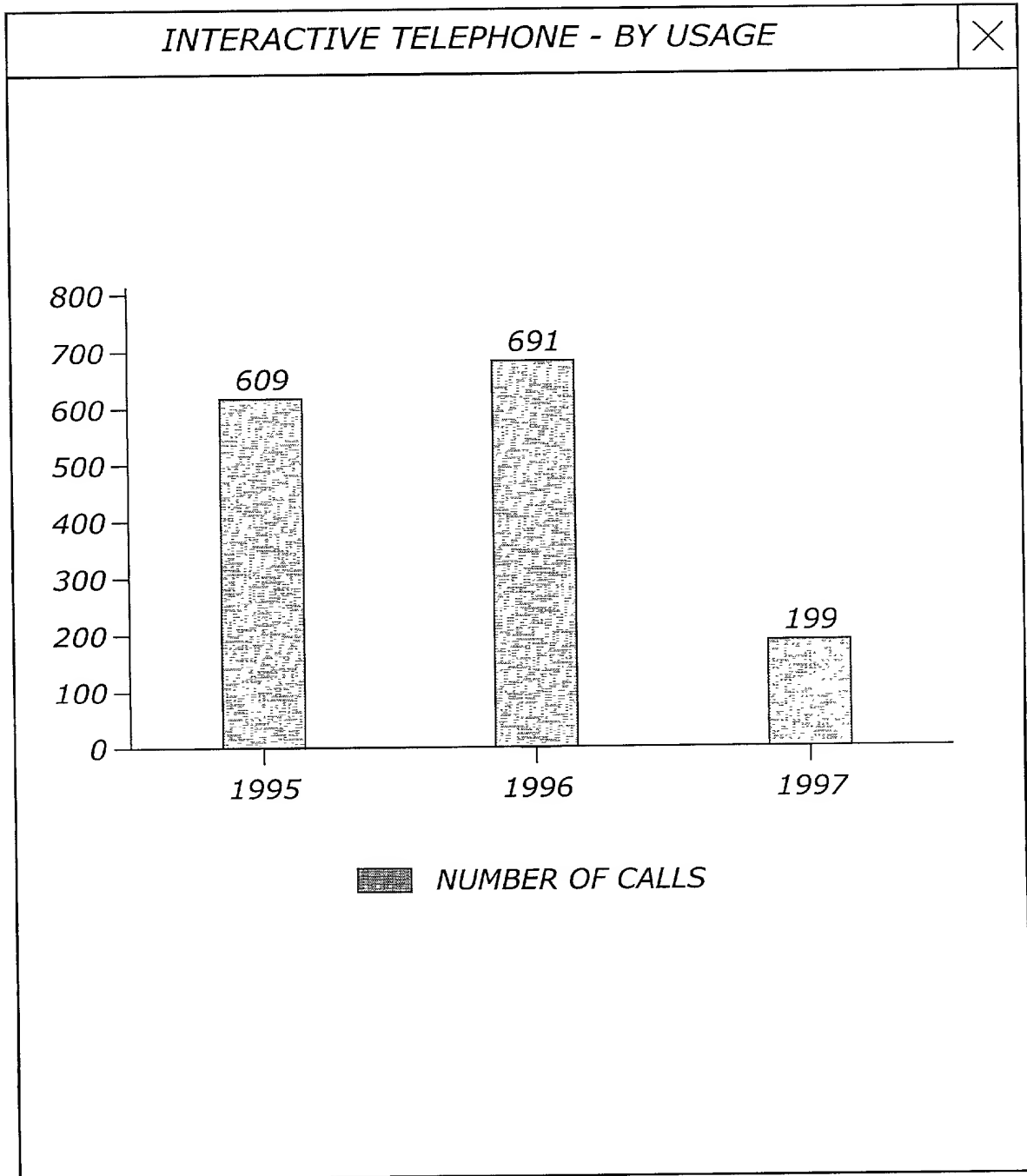


Fig. 23

300

PERSONAL LOGIN

HOME → PERSONAL LOGIN

EXIT SECURE
AREA

ID: 302

PIN: 304

306

Fig. 24

308

310

RETIREMENT SERVICES

HOME —> YOUR PERSONAL SUMMARY

NAME/AS OF MM/DD/YY

DEFINED CONTRIBUTION RETIREMENT PLAN(S)

PLAN NAME	ACCOUNT BALANCE	VESTED ACCOUNT BALANCE	LOAN BALANCE
COMPANY 1	\$31,899.68	\$21,188.68	\$2,711.00

VIEW DETAILS

DEFINED BENEFIT PENSION PLAN

PLAN NAME	VESTED BENEFIT	ESTIMATED BENEFIT
COMPANY 1	\$200.00 AS OF MM/DD/YY	\$1,000.00 AT AGE 65

Fig. 25

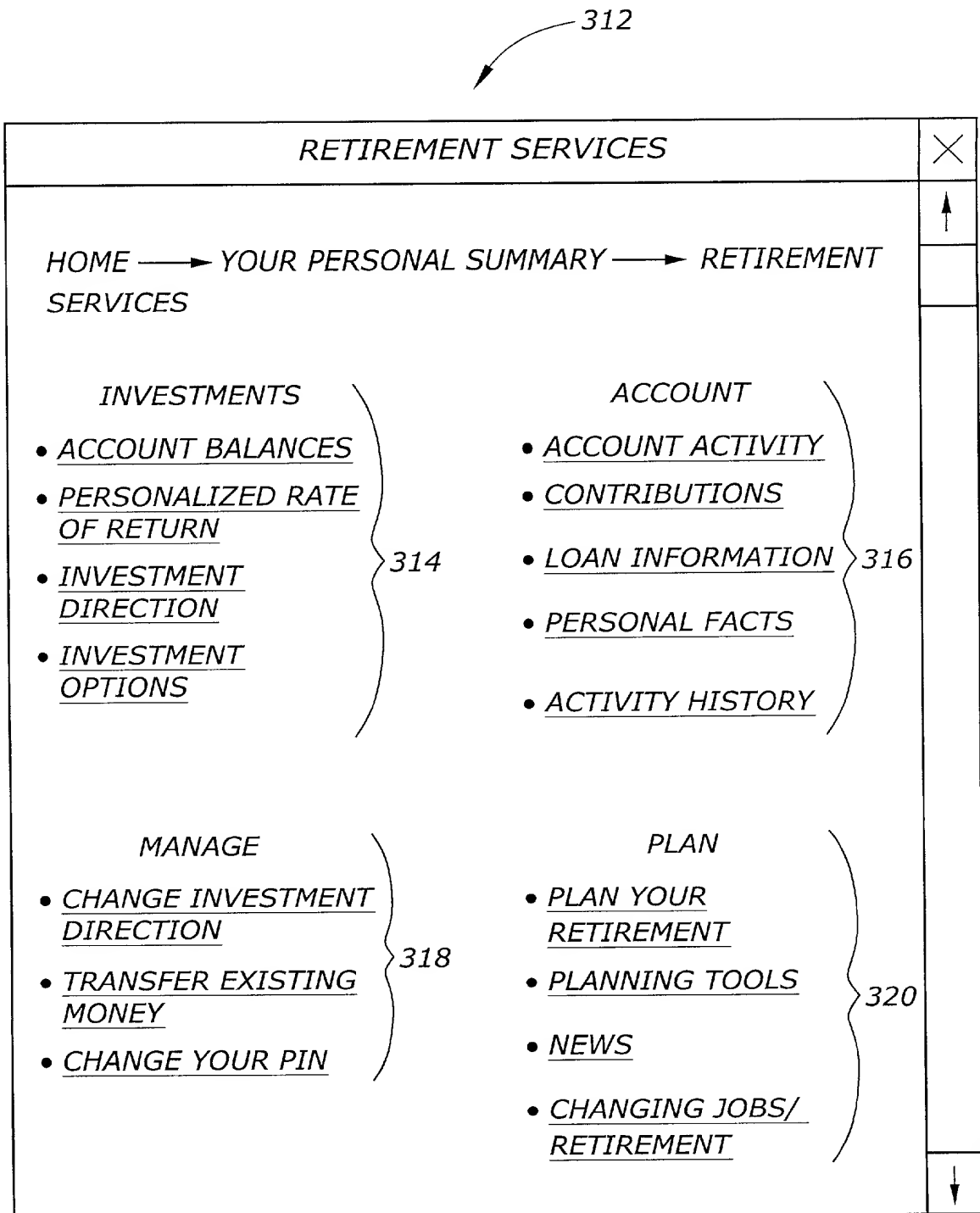


Fig. 26A

312











RETIREMENT SERVICES					×
ACCOUNT SUMMARY AS OF MM/DD/YY					↑
INVESTMENT	BALANCE	% OF TOTAL	NAV/UNIT VALUE	# OF SHARES/ UNITS	
 GUARANTEED INTEREST	\$2,478.12	8.49%	N/A	N/A	
 BOND AND MORTGAGE	\$4,136.04	14.17%	497	8.3	
 U.S. STOCK	\$8,137.80	27.88%	464	17.5	
 SMALL COMPANY BLEND	\$7,495.65	25.68%	20.2	370.2	
 INTERNATIONAL STOCK	\$6,941.07	23.78%	35.6	194.9	
TOTAL	\$29,188.68	100.00%	N/A		
RISK CATEGORY LEGEND					
 CONSERVATIVE	 MODERATE	 DYNAMIC	 AGGRESSIVE		↓

Fig. 26B

322









RETIREMENT SERVICES			×
HOME → YOUR PERSONAL SUMMARY → MAIN MENU → CHANGE INVESTMENT			↑
YOUR CURRENT INVESTMENT DIRECTION (LAST CHANGED MM/DD/YY)			
324 INVESTMENT	326 EMPLOYER	328 ELECTIVE DEFERRAL	
 GUARANTEED INTEREST 5 YEAR	20.00%	20.00%	
 BOND AND MORTGAGE	10.00%	10.00%	
 FUND ONE	20.00%	20.00%	
 U.S. STOCK	10.00%	10.00%	
 SMALL COMPANY BLEND	20.00%	20.00%	
 INTERNATIONAL STOCK	15.00%	15.00%	
1 SPECIALIZED HEALTH CARE	5.00%	5.00%	
			↓

Fig. 27A

322

RETIREMENT SERVICES

CHANGE MY INVESTMENT DIRECTION:

INVESTMENT	ENTER PERCENTS
<input type="checkbox"/> GUARANTEED INTEREST 5 YEAR	<input type="text"/> %
<input checked="" type="checkbox"/> BOND AND MORTGAGE	<input type="text"/> %
<input type="checkbox"/> FUND ONE	<input type="text"/> %
<input type="checkbox"/> U.S. STOCK	<input type="text"/> %
<input type="checkbox"/> SMALL COMPANY BLEND	<input type="text"/> %
<input type="checkbox"/> INTERNATIONAL STOCK	<input type="text"/> %
1 SPECIALIZED HEALTH CARE	<input type="text"/> %
TOTAL	<input type="text"/> %

330

Fig. 27B

332

RETIREMENT SERVICES
 X

HOME → YOUR PERSONAL SUMMARY → MAIN MENU
 → TRANSFER EXISTING MONEY

TRANSFER EXISTING MONEY

INVESTMENT	BALANCE	FROM	TO
<input type="checkbox"/> SUM OF GUARANTEED INTEREST	\$2,478.12	<input type="text"/> %	N/A
<input type="checkbox"/> GUARANTEED INTEREST 5 YEAR		<input type="text"/> %	<input type="text"/> %
<input type="checkbox"/> MONEY MARKET	334	<input type="text"/> %	<input type="text"/> %
<input checked="" type="checkbox"/> BOND AND MORTGAGE	\$4,136.04	<input type="text"/> %	<input type="text"/> %
<input checked="" type="checkbox"/> U.S. STOCK	8,137.80	<input type="text"/> %	<input type="text"/> %
<input checked="" type="checkbox"/> SMALL COMPANY BLEND	\$7,495.65	<input type="text"/> %	<input type="text"/> %
<input checked="" type="checkbox"/> INTERNATIONAL STOCK	\$6,941.07	<input type="text"/> %	<input type="text"/> %
<div style="display: flex; justify-content: space-between;"> TOTAL TRANSFER BALANCE \$29,188.68 </div>			

Fig. 28

338

RETIREMENT SERVICES ✕

HOME → YOUR PERSONAL SUMMARY → MAIN MENU

→ TRANSFER EXISTING MONEY

ALL CONTRIBUTION TYPES

	340 INVESTMENT	342 CURRENT ALLOCATION	344 NEW ALLOCATION
<input type="checkbox"/> SUM OF GUARANTEED INTEREST		8.49%	10.00%
<input checked="" type="checkbox"/> BOND AND MORTGAGE		14.17%	15.00%
<input checked="" type="checkbox"/> U.S. STOCK		27.88%	25.00%
<input checked="" type="checkbox"/> SMALL COMPANY BLEND		25.68%	25.00%
<input checked="" type="checkbox"/> INTERNATIONAL STOCK		23.78%	25.00%
TRANSFER BALANCE		N/A	100.0%

TO CONFIRM,
RE-ENTER PIN

SUBMIT

CANCEL

Fig. 29

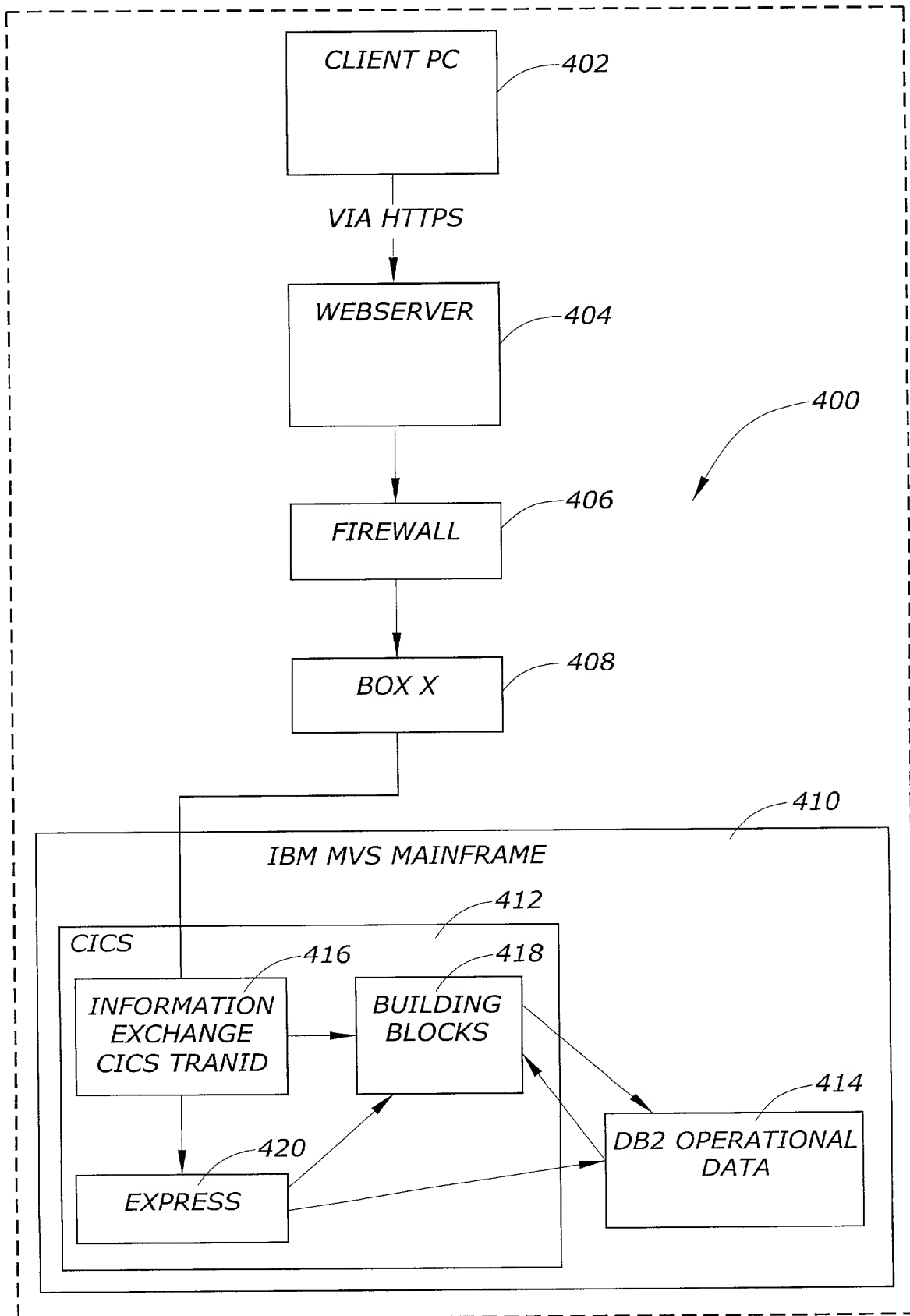


Fig. 30